

Attorney Docket No.: <u>COOL-01901</u>

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Daniel J. Lenehan et al.

Serial No.: 10/731,674

Filed: December 8, 2003

For:

PUMP AND FAN CONTROL CONCEPTS IN A COOLING

CVCTEM

**SYSTEM** 

Group Art Unit: 3753

Examiner: Ciric, Ljiljana V.

TRANSMITTAL LETTER

162 N. Wolfe Road Sunnyvale, CA 94086 (408) 530-9700

Customer No. 28960

MS: Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. <u>08-1275</u>. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 6-22-06

Thomas B. Haverstock

Reg. No.: 32,571

Attorneys for Applicants

... ALT OF MARLING (37 CFR& LOUR)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS L

Date: UJJ 06 By



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SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT

162 N. Wolfe Road
Sunnyvale, CA 94086

(408) 530-9700

Customer No. 28960

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 5,564,497; and
- U.S. Patent No. 5,810,077.

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This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 6-22-06

JUN 2 6 2006

Thomas B. Haverstock Reg. No.: 32,571

Attorneys for Applicants

EXPRINATE OF WAILING BY WERE COLD!

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HAVERSTOCK & OWENS I

Date 6 22 06 By:

- 2 -



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Examiner: Ciric, Ljiljana V.

CERTIFICATION FOR SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(e)

162 N. Wolfe Road Sunnyvale, CA 94086 (408) 530-9700

Customer No.: 28960

MS: Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

#### Certification

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on April 7, 2006. Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2).



Attorney Docket No.: COOL-01901

# **Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 6-22-06

Thomas B. Haverstock Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAIL NO 37 CFRO LONG

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Pessal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:

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Date: 6 22 06 Bv:

- 2 -

Filing Date

11/03/95

02/20/97

Translation

No

FORM PTO-1449 (Modified) U.S. Department of Commerce Patent and Trademark Office Attorney Docket No.: COOL-01901 Serial No.: 10/731,674 JUN 2 6 2006 TION DISCLOSURE STATEMENT BY APPLICANT (Use Several Pheets If Necessary) Applicants: Daniel J. Lenehan et al. Group Art Unit: 3753 Filing Date: December 8, 2003 (37 CFR § 1.98(b)) U.S. PATENT DOCUMENTS Serial / Patent Number Examiner Initials Issue Date Applicant / Patentee Class Subclass 152 165 10/15/96 Fukuoka et al. AΑ 5,564,497 165 153 09/22/98 Nakamura et al. AΒ 5,810,077 AC ΑD ΑĖ AF AG ΑH ΑI ΑJ FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS Document Number Class Subclass Publication Date Country / Patent Office Yes ΑK ALAM AN AO OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication) ΑP

AQ ARAS ΑT ΑU ΑV ΑW AXΑY ΑZ

Examiner:

Date Considered:

EXAMINER:

Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.